



Substitute for form PTO-1449

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Application Number: 10/733,687
Filing Date: December 10, 2003
First-Named Inventor: WÖBBEN, Aloys
Group Art Unit: 2834
Examiner Name: To Be Assigned
Attorney Docket No: 3436-014 CON

Sheet: 1 of 2

US PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number with kind code if known | Publication Date MM-DD-YYYY | Name of Patentee/Applicant | Comments on Relevance |
|-------------------|----------|---|-----------------------------|----------------------------|---|
| | BA | US- 4,333,021 | 06.01.1982 | Cresap, et al | Cited in various foreign opposition proceedings in related Patent No. EP 1 040 564 issued Oct. 4, 2004 and corresp. to WO 99/33165 published July 1, 1999 |
| | BB | US- 4,812,729 | 03.14.1989 | Ito, et al | Cited in various foreign opposition proceedings in related Patent No. EP 1 040 564 issued Oct. 4, 2004 and corresp. to WO 99/33165 published July 1, 1999 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number with kind code | Publication Date MM-DD-YYYY | Name of Patentee/Applicant | Comments on Relevance | T ^o |
|-------------------|----------|--------------------------------|-----------------------------|----------------------------|---|----------------|
| | BC | DE- 368799 | 02.08.1923 | Bosselmann | Cited in various foreign opposition proceedings in related Patent No. EP 1 040 564 issued Oct. 4, 2004 and corresp. to WO 99/33165 published July 1, 1999 | Y |
| | BD | DE- 296 21 449 U1 | 03.20.1997 | Söffge | Cited in various foreign opposition proceedings in related Patent No. EP 1 040 564 issued Oct. 4, 2004 and corresp. to WO 99/33165 published July 1, 1999 | Y |

OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information | T ² |
|-------------------|----------|--|----------------|
| | BE | "The Technical Sensation: Enercon-40"; undated brochure by ENERCON GmbH, Aurich-Germany; 14 pages; all portions in English language 2001 | |
| | BF | "E-40 Short Description: Rotot Generator Grid"; undated brochure except for telefax date Nov. 29, 2001; 16 pages; by ENERCON; all portions in English language | |
| | BG | "The Benchmark In Windenergy Technology E-40"; undated brochure except for May 12, 2003 date stamp perhaps by EPO; 1-28pp; by ENERCON; all portions in English language | |
| | BH | "Power Conversion For The Connection To The Medium-Voltage Grid Of A Variable Speed Wind Generator"; dated March 6-12, 2003 at European Community Wind Energy Conference in Lubeck-Travemunde, Germany; 646-650pp; by S. AVOLIO et al.; all portions in English language | |
| | BI | "Del 3: Overtoner Og Driftforhold Ved Invertetilsluttede Vindmøller"; brochure dated January 1996 in Lyngby, Denmark; 65-67pp in Danish; pertinent portions in English language | Y |
| | BJ | "Grid Integration Of Wind Energy Conversion Systems"; 1998 copyright by John Wiley & Sons, West Sussex, England; 210-211pp, 273-278pp, 326-339pp; by Siegfried HEIER of Kassel University in Germany; all portions in English language | Y |

Examiner
Signature:

Date

Considered:

8/24/2005

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| Sheet: 2 of 2 | | Attorney Docket No: 3436-014 CON | |

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|---|-------------|--|----------------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information | T ² |
| <i>MP</i> | BK | "DEFU: Nætttilslutning Af Vindmøller"; Committee Report 77; 4 pages in Danish; all portions in English language | Y |
| <i>MP</i> | BL | "Declaration Concerning Grid Monitoring In Wind Turbines Manufactured And Sold By Bonus Energy A/S"; dated April 7 (2004) by Henrik STIESDAL, Technical Director of Bonus Energy A/S, in Brande, Denmark; entire 1 page in English; (Note: This declaration refers to Cite Nos. BJ and BK above) | |
| <i>MP</i> | BM | "Error Response List"; dated January 12, 1997; 1-19pp; by supplier KK-Electronic A/S and manufacturer Bonus Energy A/S; all portions in English language | |
| <i>MP</i> | BN | :Dewek '96: Deutsche Winenergie-Konferenz"; group session held on October 24, 1996; presented by DEWI (Deutsches Windenergie-Institut Gemeinnützige GmbH); Meeting Nos. 1-10 with Table of Contents in German; but whereas Meeting No. 7 was presented by the present inventor Aloys WÖBBEN with an English language translation attached hereto | Y |
| <i>MP</i> | BO | "Windkraftanlagen Im Netzbetrieb"; 1996 copyright, published by BG Teubner Stuttgart; author Siegfried HEIER of Kassel University in Germany; | |
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| Examiner Signature: <i>MP</i> | Date Considered: 8/24/05 |
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